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PTO/SB/05 (08-00)
Approved for use through 10/31/2002. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 84738.000002

First Inventor Posma, Bonne W.

Title CONTROLLER FOR TWO DC TRACTION MOTORS

Express Mail Label No. EL886590082US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents

ADDRESS TO:

Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
See 37 CFR 1.27.
3. ☒ Specification [Total Pages 30]
(preferred arrangement set forth below)
- Descriptive title of the invention
 - Cross References to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table, or a computer program listing appendix
 - Background of the invention
 - Brief Summary of the invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of Disclosure

4. ☒ Drawing(s) (35 USC 113) [Total Sheets 11]

5. Oath or Declaration
w/Power of Attorney

[Total Pages 3]

- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 C.F.R. 1.63(d))
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).

6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
(If applicable, all necessary)

- a. ☐ Computer Readable Copy (CRF)
- b. Specification Sequence Listing on:
i. ☐ CD-ROM or CD-R (2 copies); or
ii. ☐ paper
- c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
10. ☐ 37 C.F.R. 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement (IDS)/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☒ Other. Check in the amount \$577.00 for utility patent filing fee and check in the amount of \$40.00 for recordation of assignment

17. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No :
Prior application information Examiner Group/Art Unit

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

18. CORRESPONDENCE ADDRESS

☒ Customer Number of Bar Code Label



or ☐ Correspondence address below

Name
Address
City State Zip Code
Country Telephone Fax

Name (Print/Type)	Stephen B. Salai	Registration No. (Attorney/Agent)	26,990
Signature		Date	11/13, 2001

Burden Hour Statement This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231

CERTIFICATE OF EXPRESS MAIL UNDER 37 CFR SECTION 1.10

DOCKET NO.: 84738.000002

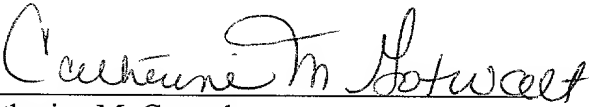
APPLICANT: Bonne W. Posma, et al

TITLE OF INVENTION: CONTROLLER FOR TWO DC TRACTION MOTORS

I hereby certify that

Utility Patent Application Transmittal
Fee Transmittal Form (in duplicate) w/check in the amount of \$577.00
23 pages of specification
6 pages of claims
1 page Abstract
11 pages of drawings;
Declaration with Power of Attorney
Recordation of Assignment (in duplicate) w/assignment document and check in the
amount of \$40.00
Information Disclosure Statement w/PTO-1449 and copies of cited references
Return Receipt Postcard

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Box Patent Application, Washington, DC 20231 on November 14, 2001


Catherine M. Gotwalt

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**FEE TRANSMITTAL
for FY 2000**

Patent fees are subject to annual revision

Complete if Known

TOTAL AMOUNT OF PAYMENT	\$577.00	Application Number	N/A
		Filing Date	Concurrently herewith
		First Named Inventor	Posma, Bonne W.
		Examiner Name	N/A
		Group Art Unit	N/A
		Attorney Docket Number	84738.000002

METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
- Deposit Account Number **033875**
- Deposit Account Name **HARTER, SECREST & EMERY LLP**
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27
2. ☒ **Payment Enclosed:**
- ☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE				
Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Description	Fee Paid
101	201	740	Utility filing fee	\$370.00
106	206	330	Design filing fee	
107	207	510	Plant filing fee	
108	208	740	Reissue filing fee	
114	214	160	Provisional filing fee	
SUBTOTAL (1)				\$370.00

2. EXTRA CLAIM FEES				
Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Description	Fee Paid
103	203	18	Claims in excess of 20	
102	202	84	Independent claims in excess of 3	
104	204	270	Multiple dependent claim, if not paid	
109	209	84	** Reissue independent claim over original patent	
110	210	18	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)				207

FEE CALCULATION (check one)**3. ADDITIONAL FEES**


Large Entity Fee Code	Small Entity Fee Code	Fee (\$)	Fee Description	Fee Paid
105	205	130	Surcharge - late filing fee or oath	
127	227	50	Surcharge - late provisional filing fee or cover sheet	
139	139	130	Non-English specification	
147	147	2,520	For filing a request for <i>ex parte</i> reexamination	
112	112	920*	Requesting publication of SIR prior to Examiner action	
113	113	1,840*	Requesting publication of SIR after Examiner action	
115	215	110	Extension for reply within first month	
116	216	390	Extension for reply within second month	
117	217	890	Extension for reply within third month	
118	218	1,390	Extension for reply within fourth month	
128	228	1,890	Extension for reply within fifth month	
119	219	310	Notice of Appeal	
120	220	310	Filing a brief in support of an appeal	
121	221	270	Request for oral hearing	
138	138	1,510	Petition to institute a public use proceeding	
140	240	110	Petition to revive - unavoidable	
141	241	1,240	Petition to revive - unintentional	
142	242	1,240	Utility issue fee (or reissue)	
143	243	440	Design issue fee	
144	244	600	Plant issue fee	
122	122	130	Petitions to the Commissioner	
123	123	50	Petitions related to provisional applications	
126	126	240	Submission of Information Disclosure Stmt	
581	581	40	Recording each patent assignment per property (times number of properties)	
146	246	710	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	249	710	For each additional invention to be examined (37 CFR § 1.129(b))	
179	279	710	Request for Continued Examination (RCE)	
169	169	900	Request for expedited examination of a design application	
Other fee (specify) _____				

SUBTOTAL (3) (\$)

* Reduced by Basic Filing Fee Paid

SUBMITTED BY

Complete (if applicable)

Name (Print/Type)	Stephen B. Salai	Registration No. (Attorney/Agent)	26,990	Telephone	716-232-6500
Signature		Date	Nov 19, 2001		

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